**ANNEXURE 3**

**APPLICATION FOR EMPANELMENT AS ALGO PROVIDER**

(to be executed on letter head of the Algo Provider)

Application of empanelment for: Algorithmic Trading (AT),

Segment: CAPITAL MARKET / FUTURES & OPTIONS / CURRENCY DERIVATIVES / COMMODITY DERIVATIVES

(strike-out whatever is not applicable)

1. **BASIC DETAILS:**

Name of the Algo Provider:

Registered Address:

Telephone No.:

Email Id.:

Name(s) & Designation of Authorised Signatory:

Telephone No. of Authorised Signatory:

Correspondence Address:

Telephone No.:

Email Id.:

1. **ORGANISATION DETAILS**

Organisational structure: To be enclosed

Total Staff: Directors

Technical Staff: Hardware

Software

Support

Others

Name and PAN of proprietor/ partners/ directors/promoters and their background

Name and mobile number of contact person:

No. of offices across the country

*(Complete details to be enclosed)*

1. **FINANCIAL DETAILS**

Financial Details of applicant/proprietor (CA/CS certified networth certificate to be enclosed)

Details of promoters and their background: To be enclosed

No. of years in the Fintech Business:

Fintech business Turnover for last five years:

*(Complete details including balance sheets to be enclosed)*

Complete details of ISO Certification:

1. **TECHNICAL DETAILS**

Complete details of infrastructure available (as below) within the organisation: To be enclosed

Hardware:

Software:

Communication / Networking:

Complete details of Projects implemented along with References:

1. **SOLUTION DETAILS:**

Complete details of Proposed Solution along with Platforms used etc.:

(Comprehensive write-up and network diagram to be provided)

Proposed Price (indicative per user & site license fee) of providing the Solution to Trading Members

*(Give details)*

We certify that all the information provided in and as part of this application is true and correct to the best of our knowledge. We undertake to ensure continuous compliance with the requirements of SEBI directives, the Exchange, DoT policy and regulations regarding levels of encryption, etc. and SEBI directives and other statutory requirements etc. in this regard, as may be issued from time to time.

Further, we are aware that the list of Algo Providers empanelled with the Exchange including address and contact person details are displayed on the website of the Exchange and accordingly we have no objection to display our details on the website.

Signed sealed and delivered by the Authorised representative of the Algo Provider

Date:

Place: